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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | |
|------------------------|------------|
| Application Number | 10/783,606 |
| Filing Date | 2/20/04 |
| First Named Inventor | HELMANN |
| Art Unit | 1753 |
| Examiner Name | |
| Attorney Docket Number | EL021RH-2 |

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment/Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation
- ☐ Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s)

- ☐ After Allowance communication to Technology Center (TC)
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

CONF. No.: 1566

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|------------------------|
| Firm or Individual name | MICHAEL H BOYER |
| Signature | <i>Michael H Boyer</i> |
| Date | AUG 24, 2004 |

CERTIFICATE OF TRANSMISSION/MAILING

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|-----------------------|------------------------|------|---------|
| Typed or printed name | MICHAEL H BOYER | | |
| Signature | <i>Michael H Boyer</i> | Date | 8/24/04 |

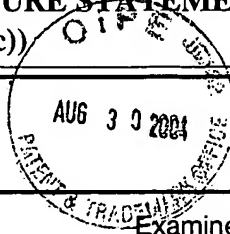
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
EL021RH-2

In Re Application Of: **ROBERT L. HEIMANN ET AL.**



Serial No.
10/783,606

Filing Date
FEB 20, 2004

Examiner
UNKNOWN

Group Art Unit
1753

Title: **AN ELECTROLESS PROCESS FOR TREATING METALLIC SURFACES AND PRODUCTS FORMED THEREBY**

CONFIRMATION NO.: 1566

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

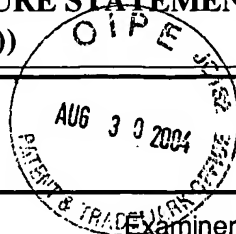
OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
EL021RH-2

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UNKNOWN

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **15-0680** as described below. A duplicate copy of this sheet is enclosed.
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*This certificate may only be used if paying by deposit account.

Dated: **AUG 24, 2004**

Signature

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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

EL021RH-2

Application Number 10/783,606

Applicant(s)

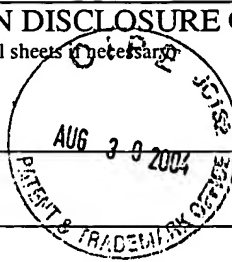
Elisha Holding LLC

Filing Date

2/20/04

Group Art Unit

1753



U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|---------------------|-----|-----------------|----------|------------------|-------|----------|-------------------------------|
| | | 3,977,888 | 8/31/76 | Sano et al. | | | |
| | | 4,466,832 | 8/21/84 | Yoshimura et al. | | | |
| | | 5,068,134 | 11/26/91 | Cole et al. | | | |
| | | 5,108,793 | 4/28/92 | van Ooij et al. | | | |
| | | 5,326,594 | 7/5/94 | Sabata et al. | | | |
| | | 5,433,976 | 7/18/95 | van Ooij et al. | | | |
| | | 5,451,431 | 9/19/95 | Purnell et al. | | | |
| | | 5,478,655 | 12/26/95 | Sabata et al. | | | |
| | | 5,700,523 | 12/23/97 | Petrole et al. | | | |
| | | | | | | | |
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FOREIGN PATENT DOCUMENTS

| | REF | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | Translation | |
|--|-----|-----------------|----------|----------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | | 0 488 353 B1 | 11/29/91 | European | | | | |
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | | |
|--|--|---------------------------------|
| | | PCT International Search Report |
| | | |

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 OF 1

Form PTO-A820 (also form PTO-1449)